



**QUICKSURE**

**COMPLIANCE MANAGEMENT POLICY**

**FOR**

**QUICKSURE COMMERCIAL (PTY) LTD**

FSP NO. 16903

VERSION\_20260201

**Quicksure Commercial (Pty) Ltd's** compliance framework rests on the company's comprehensive set of policies in this respect. Policies are updated when required to reflect governance best practice and the changing legal environment.

**Quicksure Commercial (Pty) Ltd** and employees are obliged to comply with these policies. Non-compliance risks are reviewed by the management and the compliance officer.

Compliance with regulatory requirements:

- ✓ Protects the clients and stakeholders of a business.
- ✓ Adopt good relationships with regulators.
- ✓ Enhances the reputation of a business.
- ✓ Represents good business practice.
- ✓ Contributes to good corporate governance.

The Compliance Management Policy in relation to corporate governance establishes the framework within which the identification, monitoring, and reporting will take place in order for **Quicksure Commercial (Pty) Ltd** to discharge its statutory and regulatory obligations.

**Quicksure Commercial (Pty) Ltd** recognises its accountability to all its stakeholders under the legal and regulatory requirements applicable to its businesses.

**Quicksure Commercial (Pty) Ltd** is committed to high standards of integrity and fair dealing in the conduct of its business.

**Quicksure Commercial (Pty) Ltd** is committed to complying with both the spirit and the letter of applicable requirements and to always act with due skill, care, and diligence.

Where a business is subject to regulatory requirements, **Quicksure Commercial (Pty) Ltd** will comply with those requirements. The business will furthermore establish and maintain systems of internal control to monitor and report the extent of compliance with those requirements.

In the absence of regulatory requirements for all or part of a business, certain minimum standards of conduct shall be established and maintained by that business to the extent required, as determined by the management of that business.

Accountability for ensuring compliance with regulatory requirements and minimum standards rests with the management of the business, whilst the enforcement thereof is the responsibility of the management.

To assist in the discharge of this obligation, **Quicksure Commercial (Pty) Ltd** will establish and maintain an independent compliance function under the guidance of a Compliance Officer.

The Compliance Officer will be responsible for the adequacy of the monitoring and reporting arrangements within **Quicksure Commercial (Pty) Ltd** and will also be responsible for the implementation of a Compliance Strategy within **Quicksure Commercial (Pty) Ltd**.

The internal compliance function will include establishing and maintaining systems of internal control to identify, assess, monitor, and report the extent of its compliance with regulations, rules, and other standards to which it must comply.

The compliance function must at all times display:

- ✓ independence and objectivity
- ✓ integrity
- ✓ accountability
- ✓ transparency
- ✓ understanding of and insight into business and regulatory requirements.